

Date : 24/09/24 10:47 PM

From : "Brian Helming" brian.helming@hilltopsecurities.com

Cc : "Ly Pham" ly.pham@hilltopsecurities.com, "Kelli Vincent" kelli.vincent@hilltop.com

Subject : 2024 Post Issuance Compliance Seminar -- Slide Presentation Copies

Attachment : Calculation Basics Rebate 2024 Post Issuance Compliance Seminar.pdf;Continuing Disclosure 2024 Post Issuance Compliance Seminar.pdf;Exceptions to Rebate 2024 Post Issuance Compliance Seminar.pdf;General Rebate 2024 Post Issuance Compliance Seminar.pdf;Investing Bond Proceeds_2024 Post Issuance Compliance Seminar.pdf;IRS Enforcement Rebate 2024 Post Issuance Compliance Seminar.pdf;State of the Economy_2024 Post Issuance Compliance Seminar.pdf;Yield Restriction Rebate 2024 Post Issuance Compliance Seminar.pdf;

Good Evening,

I hope this message finds you well. Thank you for attending our recent seminar, 2024 Post Issuance Compliance, on September 19th. We truly appreciate your participation and engagement during the event.

As promised, I am attaching the slide presentations from the seminar for your reference. Please feel free to review them at your convenience.

If you have any further questions or require additional information, don't hesitate to reach out. We hope you found the seminar valuable and we look forward to seeing you at future events.

You will be receiving CPE Certificates soon.

Best regards,
Brian

Brian Helming

Managing Director | Head of Arbitrage Rebate
Hilltop Securities Asset Management, LLC
717 N Harwood St, Suite 3400 | Dallas, TX 75201
direct: 214.953.8716 | work mobile: 972.994.6159
brian.helming@hilltopsecurities.com | [HilltopSecurities.com](https://www.hilltopsecurities.com)



CONFIDENTIALITY NOTICE: The information contained in this email communication (including any attachment(s)) is strictly confidential and intended solely for the person or entity named above. If you are not the intended recipient of this email, you are hereby notified that any disclosure, distribution, reproduction, or other use of this communication is strictly prohibited. If you have received this communication in error, please immediately notify the sender by return email and permanently delete this communication (including any attachment(s)) from your system.

E-mail cannot be guaranteed to be secure or without error. Hilltop Securities Inc. and its affiliates employ e-mail monitoring software for the review of incoming and outgoing messages. The sender of this e-mail does not accept or assume any liability for any error or omissions arising as a result of transmission. Nothing in the content of this e-mail should be considered a specific investment recommendation or tax or legal advice. All prices and yields are subject to change and availability.

WARNING: This email is from an external source. Please DO NOT click links or open attachments without positive sender verification of purpose. Never enter Username, Password, or sensitive information on linked pages from any email. If you are unsure about the message, please click on the **Report Phishing** button located to the right side of the Menu above.